Position-Based Interference Elimination for High-Mobility OFDM Channel Estimation in Multicell Systems

Xiang Ren, Wen Chen, Senior Member, IEEE, Bo Gong, Qibo Qin, and Lin Gui, Member, IEEE

Abstract—Orthogonal frequency-division multiplexing (OFDM) and multicell architecture are widely adopted in current high-speed train (HST) systems for providing high-data-rate wireless communications. In this paper, a typical multiantenna OFDM HST communication system with multicell architecture is considered, where the intercarrier interference (ICI) caused by high mobility and the multicell interference (MCI) are both taken into consideration. By exploiting the train position information, a new position-based interference elimination method is proposed to eliminate both the MCI and the ICI for a general basis expansion model. We show that the MCI and the ICI can be completely eliminated by the proposed method to get the ICI-free pilots at each receive antenna. In addition, for the considered multicell HST system, we develop a low-complexity compressed channel estimation method and consider the optimal pilot pattern design. Both the proposed interference elimination method and the optimal pilot pattern are robust to the train speed and position, as well as the multicell multiantenna system. Simulation results demonstrate the benefits and robustness of the proposed method in the multicell HST system.

Index Terms—Compressed sensing (CS), high speed train (HST), inter-carrier interference (ICI), multi-cell interference (MCI), orthogonal frequency-division multiplexing (OFDM).

I. INTRODUCTION

IGH speed trains (HST) have been developing rapidly around the world and attract lots of attention [1]–[3]. Orthogonal frequency-division multiplexing (OFDM) [4], [5] and multi-cell architecture, e.g., the microcellular system [2] and the distributed antenna system (DAS) [3], are widely adopted in HST wireless communication systems for providing high data rate. However, in high mobility OFDM systems, the spreading in time and frequency will destroy the orthogonality among subcarriers and introduces inter-carrier interference (ICI). In

Manuscript received April 15, 2016; revised September 20, 2016 and January 11, 2017; accepted February 14, 2017. Date of publication March 6, 2017; date of current version September 15, 2017. This work was supported in part by the National Natural Science Foundation of China under Grant #61671294 and Grant #61471236, in part by Guangxi NSF key project under Grant #2015GXNSFDA139037, in part by National 863 project 2015AA01A710, and in part by Shanghai Key Fundamental Project under Grant #16JC1402900. The review of this paper was coordinated by Prof. Y. Zhou.

The authors are with the Department of Electronic Engineering, Shanghai Jiao Tong University, Shanghai 200240, China (e-mail: renx@sjtu.edu.cn; wenchen@sjtu.edu.cn; gongbo@sjtu.edu.cn; qinqibo@sjtu.edu.cn; guilin@sjtu.edu.cn).

Color versions of one or more of the figures in this paper are available online at http://ieeexplore.ieee.org.

Digital Object Identifier 10.1109/TVT.2017.2678530

addition, in multi-cell architectures, the multi-cell interference (MCI) caused by the cells using the same frequency is inevitable [2], especially at the cell edge of the adjacent cells. The ICI and MCI will directly reduce the channel estimation accuracy resulting in degraded system performance. Channel estimation is a non-trivial problem in high mobility OFDM systems. Many channel estimation techniques have been proposed based on different channel characteristics [6]–[18].

The methods in [6] and [7] are based on a piece-wise linear channel model, which assumes that the channel varies with time linearly in one or more OFDM symbols. The works [8]–[10] resort to estimating the equivalent discrete-time channel taps modeled by basis expansion models (BEM). In [11]-[18], the authors considered compressed sensing (CS) based channel estimation methods to utilize the inherent channel sparsity. The works [11]–[14] propose several CS-based estimation methods without considering the effect of a large Doppler shift. In [15] and [16], CS-based channel estimation methods with designed pilot are developed for OFDM systems over high mobility channels. In [17] and [18], two position-based compressed channel estimation methods are developed for HST systems, where the train position information is utilized to improve the estimation performance and combat the ICI. Moveover, many other applications highly depend on accurate channel estimation schemes, such as green communication and wireless power transfer [19]–[21].

To combat the ICI effect in high mobility systems, many ICI mitigation methods have been developed [17], [18], [22]– [26]. The authors of [17], [22]-[24] propose several ICI mitigation methods based on iterative process, which incur high complexity for a large Doppler shift. The work [18] proposes a position-based ICI elimination method for the single-input multiple-output (SIMO) OFDM HST system, where ICI-free pilots can be obtained for the complex exponential BEM (CE-BEM) by exploiting the train position information. In [26], an ICI-free pilot structure is proposed for OFDM systems in the CE-BEM, where a large number of guard pilots is needed resulting a low spectrum efficiency. Both [18] and [26] are only designed for the CE-BEM and will suffer from residual ICI for other BEMs, resulting in degraded system performance. These aforementioned works seldom consider the multi-cell system, which, however, is widely adopted in current HST systems to provide high data rate services.

In multi-cell HST systems, base stations (BS) are generally evenly allocated along the railway to communicate with the mobile users in HSTs via a relay station (RS) installed on the train [2], [3]. This architecture divides the railway into many small cells and can provide high data rate services by shortening the transmission distance between the transmitter and the receivers. Generally, there exists a overlap between each two adjacent cells, which incurs the MCI by cells using the same frequency. Due to the high speed of train and the small cell size, the train will move across the cell edge frequently and the MCI significantly degrades the overall system performance. To solve this problem, one method is to use the specific antenna to execute handover with the target BS while other antennas communicating with the serving BS [17], [27]. This method needs additional costs and incurs high complexity for antenna selections, especially for large-scale antenna systems. Another technique is frequency reuse [3], [28], which considers that the adjacent cells use different frequencies (typical frequency reuse factor is 1/3 [28]). This method can effectively eliminate the MCI, which, however, highly reduces the spectrum efficiency since the total frequency is divided into several subsets and each cell uses one subset. Therefore, MCI mitigation in multi-cell HST systems becomes a severe problem that must be considered.

In this work, different to our previous works [17] and [18] based on single-cell scenarios, we consider a more practical multi-cell multi-antenna HST communication system, where the ICI caused by high mobility and the MCI at the cell edge are both taken into consideration. Note that both [17] and [18] cannot be directly applied to multi-cell systems due to the inevitable MCI. In addition, different from [18] that only considers the CE-BEM, in this work, we consider a general BEM based channel model.

We first exploit the position information of the high mobility channel modeled by a general BEM, and propose a simplified position-based channel model. Next, with the proposed position-based MCI elimination method, we show that the signals transmitted from different cells can be separated at the receive antenna corresponding to their different Doppler shifts. Then, a new position-based ICI elimination method is proposed for a general BEM to get the ICI-free pilots at the receive antenna. In specific, an example in the generalized complex exponential BEM (GCE-BEM) is given to verify the effectiveness of the proposed method. In contrast to the methods in [17] and [18] that need additional guard pilots and complexity to combat the MCI, the proposed method can eliminate both the MCI and ICI without the help of guard pilots, which highly improves the spectral efficiency. In addition, different from the method in [18] which can only get the ICI-free pilots for the CE-BEM and will suffer from residual ICI for other BEMs, the proposed method can obtain the ICI-free pilots for a general BEM. After that, a low-complexity compressed channel estimation method with optimal pilot pattern design is developed for the considered multi-cell HST system. Particularly, the optimal pilot pattern is independent of the train speed and position, the number of antennas, and the number of cells. Simulation results verify the benefits of the proposed scheme in the considered HST system. Moreover, compared to the method in [18] whose the system performance is significantly influenced by the multi-cell architecture and the channel model, it is shown that the proposed method is robust to the MCI and BEMs.

The rest of this paper is organized as follows. Section II describes the considered system model and introduces the channel model based on the BEM. In Section III, we exploit the position information and then propose the position-based MCI and ICI elimination method. A low-complexity compressed channel estimation method with the optimal pilot pattern is developed for the considered system in Section IV, where the complexity and the summary of the proposed method are also given. Simulation results are presented in Section V. At last, Section VI provides the conclusions.

 $Notations: \|\cdot\|_{\ell_0}$ indicates the number of nonzero entries in a matrix or vector, and $\|\cdot\|_{\ell_2}$ is the Euclidean norm. The superscripts $(\cdot)^T, (\cdot)^H, (\cdot)^{-1}$ represent transpose, complex conjugate transpose, inverse, respectively. $\lceil \cdot \rceil$ and $\lfloor \cdot \rfloor$ indicate round up and round down operators, respectively. \star stands for a dot product operator, and $\mathbf{A} = \mathrm{diag}\{\mathbf{a}\}$ stands for a diagonal matrix \mathbf{A} with a vector \mathbf{a} on its main diagonal. In addition, we denote the $K \times K$ all-one matrix as $\mathbf{1}_K$, the all-zero matrix as $\mathbf{0}$, and the the identity matrix as \mathbf{I} . $\mathbf{X}(:,\mathbf{w})$ denotes a submatrix of the matrix \mathbf{X} with column indices \mathbf{w} and all rows, and $\mathbf{Y}(\mathbf{p},:)$ denotes a submatrix of \mathbf{Y} with row indices \mathbf{p} and all columns. Finally, $\mathbb{C}^{M \times N}$ and $\mathbb{R}^{M \times N}$ stand for the set of $M \times N$ matrices in complex field and in real field, respectively.

II. SYSTEM MODEL

A. Multicell HST Communication System

We consider a well-recognized HST wireless communication system with the multi-cell network architecture [2], [3], as illustrated in Fig. 1. The RS has multiple antennas on the top of the train to communicate with the BS, and multiple antennas are distributed in carriages to communicate with the mobile users. The BSs are evenly allocated along the railway and connected with optical fibers, dividing the system into a number of small cells. For each cell, we assume that it has a BS with one antenna, has the same coverage range, and uses the same frequency [29].

The HST is equipped with a global positioning system (GPS) to acquire the train position and speed information, which are vital for train control systems to guarantee the train-running safety. Typically, the GPS provides an average accuracy better than 3.6 m in the open space [30]. However, its accuracy may be harmed by several factors, e.g., atmospheric effects, terrains, and environments. For the environments surrounded with trees and/or buildings, the location error of the GPS may reach up to 11–17m [30]. In such case, higher accuracy is attainable by using GPS in combination with other positioning systems, such as transponders, track circuits, axle counters, and so on [31]. In this work, we consider the train runs in an open plain and assume that there exists a strong line-of-sight (LOS) path

¹One may also consider that the BS is equipped with multiple antennas. In such case, some advanced techniques, e.g., precoding and space-time coding [32], can be utilized to obtain the multiplexing gain and diversity gain. In addition, some cancellation techniques in [32] can be adopted to combat the incurred inter-antenna interference (IAI).

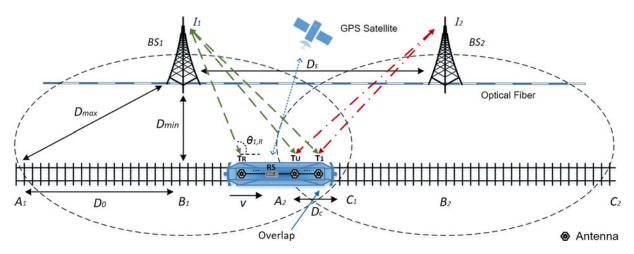


Fig. 1. The structure of a multicell HST communication system.

between each BS and each receive antenna on the HST² In addition, as the GPS provides satisfactory accuracy in the open space, we also assume that the GPS estimates the train position and speed information perfectly and sends them to the HST without time delay. Detailed impacts of the GPS location error on the proposed method will be discussed in Section IV-E.

In Fig. 1, assume the HST moves at a constant speed v. Denote $D_{\rm max}$ as the coverage of the BS, i.e., the distance between A_1 and BS_1 , D_{\min} as the minimum distance between the BS and the railway, i.e., B_1 to BS_1 , D_0 as the distance between A_1 and B_1 , D_s as the distance between BS_1 and BS_2 , and D_c as the length of the overlap $A_2 - C_1$. Let $\{T_r\}_{r=1}^R$ denote the receive antennas of the RS which are evenly located on the top of the HST, and $\{I_t\}_{t=1}^{T=2}$ denote the transmit antenna of BS_t . For each cell, define $\alpha_{t,r} \in [0, 2D_0]$ as the distance between T_r and A_t , where $\alpha_{t,r}=0$ at A_t and $\alpha_{t,r}=2D_0$ at C_t , and $\theta_{t,r}$ as the angle between the direction of the train speed and BS_t to T_r . In general, $\theta_{t,r}$ can be directly calculated by the HST's position information $\alpha_{t,r}$ (supported by the GPS) and its relative position to BS_t . Note that, since the train moves along the railway, the geographical locations of BSs (usually installed along the railway track) can be conveniently obtained and pre-stored at the HST, e.g., using track map [31]. When the r-th receive antenna moves at a certain position $\alpha_{t,r}$, it suffers from a Doppler shift from BS_t as $f_{t,r} = \frac{v}{c} \cdot f_c \cos \theta_{t,r}$, where f_c is the carrier frequency, and c is the speed of light. In Fig. 1, considering a case of the HST moving from A_2 to C_1 , as we consider that BS_1 and BS_2 use the same frequency, it is easy to find that the U ($U \le R$) receive antennas in the overlap receive both the signals from BS_1 and BS_2 , resulting in the MCI.

B. MIMO-OFDM System

Considering that U receive antennas are at the overlap $A_2 - C_1$, it can be treated as a multiple-input multiple-output

²In practice, there are some non-LOS scenarios exist in HST systems due to the shelters of trees, mountains or buildings, and tunnels as well. However, the newly-built HST routes are usually designed with gentler curves, shallower grooves, wider tunnels, and the BSs are allocated along the railway with a small distance and a high antenna height, yielding a clear or open space with a strong LOS path [1]. These make the LOS scenarios more dominant in HST systems.

(MIMO) OFDM system with 2 transmit antennas, U receive antennas, and K subcarriers. Denote $X_t(k)$ as the signal transmitted by BS_t over the k-th subcarrier during one OFDM symbol, where k=0,1,...,K-1 and t=1,2. After performing the inverse discrete Fourier transform (IDFT) operation at each BS, cyclic prefix (CP) is inserted into the transmitted signal to avoid the intersymbol interference (ISI). At each receive antenna, the received signal is demodulated by the discrete Fourier transform (DFT) operation after removing the CP. For the r-th receive antenna, the received frequency domain signal $\mathbf{y}_r = [Y_r(0), Y_r(1), ..., Y_r(K-1)]^T$ is expressed as

$$\mathbf{y}_r = \sum_{t=1}^{T=2} \mathbf{H}_{t,r} \mathbf{x}_t + \mathbf{n}_r, \tag{1}$$

where $\mathbf{H}_{t,r}$ is the frequency domain channel matrix from BS_t to the r-th receive antenna, $\mathbf{x}_t = [X_t(0), X_t(1), ..., X_t(K-1)]^T$ is the signal vector transmitted by BS_t , $\mathbf{n}_r = [N_r(0), N_r(1), ..., N_r(K-1)]^T$ is the noise vector at the r-th receive antenna, and $N_r(k)$ is the additive white Gaussian noise (AWGN) with a zero mean and σ_{ε}^2 variance over the k-th subcarrier.

For the time-invariant channel, $\mathbf{H}_{t,r}$ will be a diagonal matrix and the received signal is free of the ICI. However, for high mobility channels, $\mathbf{H}_{t,r}$ becomes a full matrix, resulting in the ICI. Denote $\mathbf{H}_{t,r}^{\mathrm{free}} \triangleq \mathrm{diag}\{[H_{t,r}(0,0),H_{t,r}(1,1),...,H_{t,r}(K-1,K-1)]\}$ as the ICI-free channel matrix of $\mathbf{H}_{t,r}$, and $\mathbf{H}_{t,r}^{\mathrm{ICI}} \triangleq \mathbf{H}_{t,r} - \mathbf{H}_{t,r}^{\mathrm{free}}$ denotes the ICI part. Then, (1) can be rewritten as

$$\mathbf{y}_r = \sum_{t=1}^{T=2} \mathbf{H}_{t,r}^{\text{free}} \mathbf{x}_t + \mathbf{H}_{t,r}^{\text{ICI}} \mathbf{x}_t + \mathbf{n}_r,$$
 (2)

$$= \underbrace{\mathbf{H}_{1,r}^{\text{free}} \mathbf{x}_1 + \mathbf{H}_{1,r}^{\text{ICI}} \mathbf{x}_1}_{\mathbf{Y}_{1,r}} + \underbrace{\mathbf{H}_{2,r}^{\text{free}} \mathbf{x}_2 + \mathbf{H}_{2,r}^{\text{ICI}} \mathbf{x}_2}_{\mathbf{Y}_{2,r}} + \mathbf{n}_r, \quad (3)$$

where $\mathbf{Y}_{1,r}$ denotes the signal transmitted from BS_1 to the r-th receive antenna, and $\mathbf{Y}_{2,r}$ denotes the signal transmitted from BS_2 . It is easy to find that \mathbf{y}_r is a sum of the signals from the two neighbouring BSs.

C. Channel Model Based on BEM

In this paper, the widely used BEM is adopted to model the high mobility channel between BSs and the receive antennas of the RS [8]. Assume there are L multipaths between each transmit antenna and each receive antenna. Define $\mathbf{h}_{t,r}(l) = [h_{t,r}(0,l),h_{t,r}(1,l),...,h_{t,r}(K-1,l)]^T \in \mathbb{C}^{K\times 1}$, where $h_{t,r}(\tilde{k},l)$ denotes the l-th channel tap between BS_t and the r-th receive antenna at the \tilde{k} -th time instant, l=0,1,...,L-1, and $h_{t,r}(\tilde{k},l)=0$ for l>L-1. Then, $\mathbf{h}_{t,r}(l)$ can be represented as

$$\mathbf{h}_{t,r}(l) = \mathbf{B}\mathbf{c}_{t,r}(l) + \boldsymbol{\epsilon}_{t,r}(l), \tag{4}$$

where $\mathbf{B} = [\mathbf{b}_0,...,\mathbf{b}_q,...,\mathbf{b}_Q] \in \mathbb{C}^{K \times (Q+1)}$ is the BEM basis matrix, $\mathbf{b}_q = [b_q(0),b_q(1),...,b_q(K-1)]^T \in \mathbb{C}^{K \times 1}$ is the q-th (q=0,1,...,Q) basis function vector, $\mathbf{c}_{t,r}(l) = [c_{t,r}(0,l),c_{t,r}(1,l),...,c_{t,r}(Q,l)]^T$ collects the Q+1 BEM coefficients of the l-th channel tap, $Q=2\lceil f_{\max}T_d \rceil$ is the BEM order with the maximum Doppler shift f_{\max} and the packet duration T_d , and $\epsilon_{t,r}(l) = [\epsilon_{t,r}(0,l),\epsilon_{t,r}(1,l),...,\epsilon_{t,r}(K-1,l)]^T$ represents the BEM modeling error.

According to (4), we have

$$h_{t,r}(\tilde{k},l) = \sum_{q=0}^{Q} b_q(\tilde{k}) c_{t,r}(q,l) + \epsilon_{t,r}(\tilde{k},l),$$
 (5)

where $b_q(\tilde{k})$ is the \tilde{k} -th entry of \mathbf{b}_q , and $c_{t,r}(q,l)$ is the q-th entry of $\mathbf{c}_{t,r}(l)$.

Denote $\mathbf{H}_{t,r}$ as the time domain channel matrix between BS_t and the r-th receive antenna which exhibits a pseudo-circular structure as [8]

$$\tilde{\mathbf{H}}_{t,r}(\tilde{k},d) = h_{t,r}(\tilde{k},|\tilde{k}-d|_K), \ \tilde{k},d \in [0,K-1],$$
 (6)

where $\tilde{\mathbf{H}}_{t,r}(\tilde{k},d)$ indicates the (\tilde{k},d) -th entry of $\tilde{\mathbf{H}}_{t,r}$, and $|\cdot|_K$ denotes a mod K operator. Inserting (5) into (6), $\tilde{\mathbf{H}}_{t,r}$ can be expressed as

$$\tilde{\mathbf{H}}_{t,r} = \sum_{q=0}^{Q} \tilde{\mathbf{D}}_{q} \mathbf{C}_{t,r,q} + \tilde{\boldsymbol{\xi}}_{t,r}, \tag{7}$$

where $\tilde{\mathbf{D}}_q = \operatorname{diag}\{\mathbf{b}_q\}$, $\mathbf{C}_{t,r,q}$ is a $K \times K$ circulant matrix with $\bar{\mathbf{c}}_{t,r,q} \triangleq [c_{t,r}(q,0),c_{t,r}(q,1),...,c_{t,r}(q,L-1),\mathbf{0}_{1\times(K-L)}]^T$ as its first column, $\mathbf{0}_{1\times(K-L)}$ indicates a $1\times(K-L)$ all-zero vector, and $\tilde{\boldsymbol{\xi}}_{t,r}$ is the BEM modeling error matrix in the time domain.

Then, we can write the frequency domain channel matrix between BS_t and the r-th receive antenna as

$$\mathbf{H}_{t,r} = \mathbf{F}\tilde{\mathbf{H}}_{t,r}\mathbf{F}^H + \boldsymbol{\xi}_{t,r},\tag{8}$$

$$= \sum_{q=0}^{Q} \mathbf{D}_{q} \boldsymbol{\Delta}_{t,r,q} + \boldsymbol{\xi}_{t,r}, \tag{9}$$

where $\mathbf{D}_q = \mathbf{F} \mathrm{diag}\{\mathbf{b}_q\}\mathbf{F}^H$, $\boldsymbol{\Delta}_{t,r,q} = \mathrm{diag}\{\mathbf{F}_L\mathbf{c}_{t,r,q}\}$ is a diagonal matrix with $\mathbf{c}_{t,r,q} \triangleq [c_{t,r}(q,0),...,c_{t,r}(q,L-1)]^T$, \mathbf{F} is the DFT matrix, \mathbf{F}_L is the first L columns of $\sqrt{K}\mathbf{F}$, and $\boldsymbol{\xi}_{t,r}$ is the BEM modeling error matrix in the frequency domain.

In the following, since we mainly focus on the MCI and ICI elimination and the channel estimation, the BEM modeling error $\xi_{t,r}$ will be omitted for the convenience of illustration. In fact, ignoring $\xi_{t,r}$ will not affect the detailed expressions and the conclusions of the proposed method.

D. Channel Estimation Based on BEM

From now on, we can describe our system based on the BEM. Substituting (9) into (1), we obtain

$$\mathbf{y}_r = \sum_{t=1}^T \sum_{q=0}^Q \mathbf{D}_q \mathbf{\Delta}_{t,r,q} \mathbf{x}_t + \mathbf{n}_r.$$
 (10)

Assume that BS_t transmits P(P < K) pilots at the subcarrier pattern $\mathbf{w}_t = [w_{t,1}, w_{t,2}, ..., w_{t,P}]$ and the data are transmitted at the subcarrier pattern \mathbf{d}_t . The received pilots at the r-th receive antenna with \mathbf{w}_t can be represented as

$$\mathbf{y}_{r}(\mathbf{w}_{t}) = \underbrace{\sum_{q=0}^{Q} \mathbf{D}_{q}(\mathbf{w}_{t}, \mathbf{w}_{t}) \boldsymbol{\Delta}_{t,r,q}(\mathbf{w}_{t}, \mathbf{w}_{t}) \mathbf{x}_{t}(\mathbf{w}_{t})}_{\boldsymbol{\Omega}_{t,r}} + \underbrace{\sum_{q=0}^{Q} \mathbf{D}_{q}(\mathbf{w}_{t}, \mathbf{d}_{t}) \boldsymbol{\Delta}_{t,r,q}(\mathbf{d}_{t}, \mathbf{d}_{t}) \mathbf{x}_{t}(\mathbf{d}_{t})}_{\boldsymbol{\Upsilon}_{t,r}} + \underbrace{\sum_{\nu=1, \nu \neq t}^{T} \sum_{q=0}^{Q} \mathbf{D}_{q}(\mathbf{w}_{t}, \mathbf{k}_{\nu}) \boldsymbol{\Delta}_{\nu,r,q}(\mathbf{k}_{\nu}, \mathbf{k}_{\nu}) \mathbf{x}_{\nu}(\mathbf{k}_{\nu})}_{\boldsymbol{\Lambda}_{t,r}} + \mathbf{n}_{r}(\mathbf{w}_{t}),$$

$$(11)$$

where $\mathbf{k}_{\nu} = \mathbf{w}_{\nu} \cup \mathbf{d}_{\nu}$ denotes the union set of the pilot and data pattern of BS_{ν} , and $\nu \neq t$. In (11), for the desired pilot $\Omega_{t,r}$, we first decouple the ICI introduced by the data transmitted from BS_t and put it in the term $\Upsilon_{t,r}$, and then decouple the MCI caused by the signals transmitted from other BSs and put them in the term $\mathbf{A}_{t,r}$.

For time-varying channels, it can be found that the desired $\Omega_{t,r}$ is distorted by $\Upsilon_{t,r}$ due to $\Upsilon_{t,r} \neq \mathbf{0}$. In addition, for the considered system in Fig. 1, as we assume that BS_1 and BS_2 use the same frequency, it is easy to have $\mathbf{A}_{t,r} = \mathbf{0}$ for the r-th receive antenna moving from A_1 to A_2 , whereas $\mathbf{A}_{t,r} \neq \mathbf{0}$ for it moving into the overlap $A_2 - C_1$. When the train passes the overlap at a high speed, $\Upsilon_{t,r}$ and $\mathbf{A}_{t,r}$ will directly degrade the channel estimation performance. In addition, due to the high train speed and the small cell size, the train will pass the overlaps frequently and $\mathbf{A}_{t,r}$ is inevitable. Therefore, MCI and ICI eliminations are necessary for multi-cell HST systems.

III. POSITION-BASED MCI AND ICI ELIMINATION

In this section, we first exploit the position information of the BEM and propose a position-based channel model. Then, for a general BEM channel model, we show that both the MCI and the ICI can be eliminated at each receive antenna by exploiting the

position information. In addition, an example in the GCE-BEM is given for better clarification.

A. Position Information of BEM

In this subsection, we first introduce a definition and a lemma based on the BEM given in (9).

Definition 1 (Channel sparsity [11]): For a wireless channel based on the BEM, the channel coefficients that contribute significant powers are called as the dominant coefficients, i.e., $|c_{t,r}(q,l)|^2 > \gamma$, where γ is a pre-fixed threshold. These dominant coefficients reflect the major properties of the channel while other coefficients with minor powers can be neglected. The channel $\mathbf{H}_{t,r}$ is S-sparse if $S = \|\mathbf{c}_{t,r}\|_{\ell_0} \ll L(Q+1)$, where $\mathbf{c}_{t,r} = [\mathbf{c}_{t,r,0}^T, \mathbf{c}_{t,r,1}^T, ..., \mathbf{c}_{t,r,Q}^T]^T \in \mathbb{C}^{L(Q+1)\times 1}$ collects all the BEM coefficients of $\mathbf{H}_{t,r}$.

Lemma 1 (Position-based channel sparsity [18]): For an HST system, if the high mobility channel between BS_t (t=1,2) and the r-th (r=1,2,...,R) receive antenna is S-sparse, then $\mathbf{H}_{t,r}$ is S-sparse at any given position and its dominant BEM coefficients only exist in $\mathbf{c}_{t,r}^*$, i.e.,

$$\mathbf{c}_{t,r}^* = \mathbf{c}_{t,r,q|q=q_{t,r}^*} \tag{12}$$

$$= \left[c_{t,r}(q_{t,r}^*, 0), c_{t,r}(q_{t,r}^*, 1), ..., c_{t,r}(q_{t,r}^*, L-1) \right]^T, \quad (13)$$

where $q_{t,r}^* \in \{0, 1, ..., Q\}$ is called as the dominant index of $\mathbf{H}_{t,r}$ corresponding to $f_{t,r}$.

Proof: Please see [18].

Next, the following theorem is given to explore the position information of the considered multi-cell HST system.

Theorem 1: For a multi-cell HST system with any given train position, if the high mobility channel between BS_t (t=1,2) and the r-th (r=1,2,...,R) receive antenna is S-sparse, it can be modeled with its dominant coefficients $\mathbf{c}_{t,r}^*$ and the dominant basis function $\mathbf{D}_{t,r}^* = \mathbf{D}_{q|q=q_{t,r}^*}$. In specific,

$$\mathbf{H}_{t,r} = \begin{cases} \mathbf{D}_{t,r}^* \mathbf{\Delta}_{t,r}^*, & \alpha_{t,r} \in [0, 2D_0], \\ \mathbf{0}, & \alpha_{t,r} < 0 \text{ or } \alpha_{t,r} > 2D_0. \end{cases}$$
(14)

where $\Delta_{t,r}^* = \text{diag}\{\mathbf{F}_L \mathbf{c}_{t,r}^*\}$, $\alpha_{t,r} \in [0, 2D_0]$ denotes that the r-th receiver is in the cell of BS_t , and $\alpha_{t,r} < 0$ or $\alpha_{t,r} > 2D_0$ denotes that the r-th receive is out of the cell.

Proof: Let us consider the multi-cell HST system in Fig. 1. Assume that the HST moves into the cell of BS_t and the r-th receive antenna moves at a certain position $\alpha_{t,r}$ with the Doppler shift $f_{t,r}$. Then, the channel between BS_t and the r-th receive antenna can be represented as (9), where $\mathbf{H}_{t,r}$ is represented as the sum of the products of the basis matrix \mathbf{D}_q and the corresponding BEM coefficients $\mathbf{\Delta}_{t,r,q}$ over all Doppler shifts. Note that q=0,1,...,Q correspond to the Doppler shifts from $-f_{\max}$ to f_{\max} in sequence, e.g., q=0 for $-f_{\max}$ and q=Q for f_{\max} , respectively.

According to Lemma 1, if $\mathbf{H}_{t,r}$ is S-sparse, its dominant coefficients only exist in $\mathbf{c}_{t,r}^*$ with the index $q_{t,r}^*$ corresponding to $f_{t,r}$, and the non-dominant ones can be neglected, i.e., $\mathbf{c}_{t,r,\bar{q}} = \mathbf{0}$ for $\bar{q} = 0, 1, ..., Q$ and $\bar{q} \neq q_{t,r}^*$. Therefore, we can rewrite

(9) as

$$\mathbf{H}_{t,r} = \mathbf{D}_{q_{t,r}^*} \mathbf{\Delta}_{t,r,q_{t,r}^*} + \underbrace{\sum_{\bar{q}=0,\bar{q}\neq q_{t,r}^*}^{Q} \mathbf{D}_{\bar{q}} \operatorname{diag}\{\mathbf{F}_L \mathbf{c}_{t,r,\bar{q}}\}, \quad (15)$$

$$= \mathbf{D}_{t\ r}^* \mathbf{\Delta}_{t\ r}^*. \tag{16}$$

Note that we here assume that all paths suffer from identical Doppler shifts. This is reasonable since there usually exists a strong LOS propagation path in HST channels [1]. Therefore, for the r-th receive antenna moves at $\alpha_{t,r}$ with $f_{t,r}$, it can be found that all the channel taps of $\mathbf{H}_{t,r}$ suffer from the same $f_{t,r}$ and correspond to the same index $q_{t,r}^*$ and thus the same $\mathbf{c}_{t,r}^*$. In this way, $\mathbf{H}_{t,r}$ can be represented with the dominant parts $\mathbf{\Delta}_{t,r}^* = \mathrm{diag}\{\mathbf{F}_L\mathbf{c}_{t,r}^*\}$ and $\mathbf{D}_{t,r}^*$ as (16). The relationships between $q_{t,r}^*$, $\alpha_{t,r}$ and $f_{t,r}$ are given in the following part.

Besides, for $\alpha_{t,r} < 0$ and $\alpha_{t,r} > 2D_0$, it is easy to have $\mathbf{H}_{t,r} = \mathbf{0}$ since the r-th receive antenna is out of the coverage range of BS_t .

For the r-th receive antenna at the cell BS_t , the relationship between $q_{t,r}^*$ and $f_{t,r}$ is expressed as

$$q_{t,r}^* = \begin{cases} \lceil T_d f_{t,r} \rceil + \frac{Q}{2}, & f_{t,r} \in [0, f_{\max}], \\ |T_d f_{t,r}| + \frac{Q}{2}, & f_{t,r} \in [-f_{\max}, 0). \end{cases}$$
(17)

In addition, the relationship between $q_{t,r}^*$ and the antenna position $\alpha_{t,r}$ is given as

$$q_{t,r}^* = \begin{cases} \left[F \cdot \frac{D_0 - \alpha_{t,r}}{\sqrt{(D_0 - \alpha_{t,r})^2 + D_{\min}^2}} \right] + \frac{Q}{2}, & \alpha_{t,r} \in [0, D_0], \\ \left[F \cdot \frac{D_0 - \alpha_{t,r}}{\sqrt{(D_0 - \alpha_{t,r})^2 + D_{\min}^2}} \right] + \frac{Q}{2}, & \alpha_{t,r} \in (D_0, 2D_0], \end{cases}$$

$$(18)$$

where $F = T_d f_{\max} = T_d \frac{v}{c} \cdot f_c$, $\alpha_{t,r} \in [0,D_0]$ denotes the r-th receive antenna moving from A_t to B_t , and $\alpha_{t,r} \in (D_0,2D_0]$ denotes the positions from B_t to C_t .

According to Theorem 1, when the r-th receive antenna moves into the overlap A_2-C_1 , (10) can be thus represented as

$$\mathbf{y}_r = \sum_{t=1}^{T=2} \mathbf{D}_{t,r}^* \mathbf{\Delta}_{t,r}^* \mathbf{x}_t + \mathbf{n}_r,$$
 (19)

$$= \mathbf{D}_{1,r}^* \mathbf{\Delta}_{1,r}^* \mathbf{x}_1 + \mathbf{D}_{2,r}^* \mathbf{\Delta}_{2,r}^* \mathbf{x}_2 + \mathbf{n}_r.$$
 (20)

Note that we have $\mathbf{D}_{1,r}^* \neq \mathbf{D}_{2,r}^*$ in the considered multi-cell HST system. For the r-th receive antenna in the overlap $A_2 - C_1$, it is easy to find that $f_{1,r} \neq f_{2,r}$ ($f_{1,r} < 0$ and $f_{2,r} > 0$) due to its different relative positions to BS_1 and BS_2 , and we have $q_{1,r}^* \neq q_{2,r}^*$ and $\mathbf{D}_{1,r}^* \neq \mathbf{D}_{2,r}^*$. We also have $\mathbf{\Delta}_{r,1}^* \neq \mathbf{\Delta}_{r,2}^*$ due to $\mathbf{H}_{1,r}$ and $\mathbf{H}_{2,r}$ are independent. In (20), it is obvious that the receive antenna receives both the signals from the two adjacent BSs, incurring the MCI. In addition, since $\mathbf{D}_{t,r}^*$ is approximately banded for most BEMs, there still exists ICI in (20).

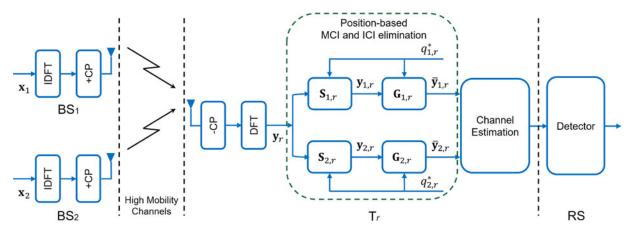


Fig. 2. Structure of the position-based MCI and ICI elimination for the r-th receive antenna.

On the other hand, when the r-th receive antenna is out of the overlap $A_2 - C_1$, we have

$$\mathbf{y}_r = \mathbf{D}_{t,r}^* \mathbf{\Delta}_{t,r}^* \mathbf{x}_t + \mathbf{n}_r. \tag{21}$$

From (21), since the receive antenna can only receive the signal transmitted by BS_t , it is easy to find that the r-th receive antenna is free of the MCI but with the ICI.

B. Position-Based MCI and ICI Elimination

We now propose a new position-based MCI and ICI elimination method for each receive antenna, which is shown as Fig. 2. Different to the methods in [23] and [33] based on iterative process, which may incur large iteration times for high Doppler shift and suffer from a performance degradation due to the error propagation, the proposed method can eliminate both the MCI and ICI before channel estimation by utilizing the train position information, without any iterative process. In addition, unlike [18] which only considers the CE-BEM [34], we consider a general BEM in this work.

1) MCI Elimination: In Fig. 2, for the r-th receive antenna in the overlap A_2-C_1 , after removing the CP and performing the DFT modulation, the received signal \mathbf{y}_r in (10) can be rewritten as

$$\mathbf{y}_r = \sum_{t=1}^T \mathbf{D} \mathbf{\Delta}_{t,r} \mathbf{x}_t + \mathbf{n}_r, \qquad (22)$$

where
$$\mathbf{D} = [\mathbf{D}_0,...,\mathbf{D}_q,...,\mathbf{D}_Q] \in \mathbb{C}^{K \times (Q+1)K}$$
, and $\boldsymbol{\Delta}_{t,r} = [\boldsymbol{\Delta}_{t,r,0}^T,...,\boldsymbol{\Delta}_{t,r,q}^T,...,\boldsymbol{\Delta}_{t,r,Q}^T]^T \in \mathbb{C}^{(Q+1)K \times K}$.

Then, \mathbf{y}_r is transmitted to the designed position-based MCI elimination module $\mathbf{S}_{t,r}$, where t=1,2. The main idea of $\mathbf{S}_{t,r}$ is to split up the signals transmitted from different BSs by utilizing their different Doppler shifts. The designed $\mathbf{S}_{t,r}$ is a $K \times (Q+1)K$ zero matrix with a $K \times K$ all-one matrix at the position corresponding to $q_{t,r}^*$, which can be represented as

$$\mathbf{S}_{t,r} = [\mathbf{0}, ..., \underbrace{\mathbf{1}_{K}}_{q_{t,r}^*}, ..., \mathbf{0}].$$
 (23)

In specific, we have

$$\mathbf{S}_{t,r}(:,\mathbf{s}_{t,r}) = \mathbf{1}_K,\tag{24}$$

where $\mathbf{s}_{t,r} = [q_{t,r}^*K, q_{t,r}^*K+1, ..., (q_{t,r}^*+1)K-1]$ is a $1 \times K$ vector collecting the column indices of the all-one matrix in $\mathbf{S}_{t,r}$, and $q_{t,r}^* \in \{0,1,...,Q\}$. Note that $q_{t,r}^*$ is related to $f_{t,r}$ and their relationship is given as (17).

Denote $\mathbf{y}_{t,r}$ as the signal transmitted by BS_t and received at the r-th receive antenna. After passing $\mathbf{S}_{t,r}$, $\mathbf{y}_{t,r}$ can be obtained as

$$\mathbf{y}_{t,r} = \mathbf{S}_{t,r} \star \sum_{t=1}^{T} \mathbf{D} \mathbf{\Delta}_{t,r} \mathbf{x}_{t} + \mathbf{n}_{t,r},$$
 (25)

$$= \mathbf{S}_{t,r} \star \mathbf{D} \boldsymbol{\Delta}_{t,r} \mathbf{x}_t + \sum_{\nu=1}^{T} \mathbf{S}_{t,r} \star \mathbf{D} \boldsymbol{\Delta}_{\nu,r} \mathbf{x}_{\nu} + \mathbf{n}_{t,r}, \quad (26)$$

$$= \mathbf{D}_{q_{t,r}^*} \Delta_{t,r,q_{t,r}^*} \mathbf{x}_t + \sum_{\nu=1,\nu\neq t}^T \underbrace{\mathbf{D}_{q_{t,r}^*} \Delta_{\nu,r,q_{t,r}^*}}_{\mathbf{I}=\mathbf{0}} \mathbf{x}_{\nu} + \mathbf{n}_{t,r}, (27)$$

$$= \mathbf{D}_{t,r}^* \mathbf{\Delta}_{t,r}^* \mathbf{x}_t + \mathbf{n}_{t,r}, \tag{28}$$

where \star is the dot product operator, $\mathbf{n}_{t,r}$ is the equivalent noise vector after passing $\mathbf{S}_{t,r}$, and t=1,2. Note that we have $\mathbf{J}=\mathbf{0}$ ($\nu\neq t$) in (27) since its corresponding BEM coefficients $\mathbf{c}_{\nu,r,q^*_{t,r}}$ are non-dominant for $\mathbf{H}_{\nu,r}$, i.e., $\Delta_{\nu,r,q^*_{t,r}}=\mathrm{diag}\{\mathbf{F}_L\mathbf{c}_{\nu,r,q^*_{t,r}}\}=\mathbf{0}$. Whereas, according to Lemma 1, the dominant coefficients of $\mathbf{H}_{\nu,r}$ exist in $\mathbf{c}_{\nu,r,q^*_{\nu,r}}$ and thus correspond to $\Delta_{\nu,r,q^*_{\nu,r}}\neq\mathbf{0}$. In (27), we also have

$$\mathbf{S}_{t,r} \star \mathbf{D} \Delta_{t,r} = \mathbf{D}_{q_{t,n}^*} \Delta_{t,r,q_{t,n}^*}. \tag{29}$$

This is because that $\mathbf{S}_{t,r}$ can be seen as a selection matrix which selects the dominant basis matrix $\mathbf{D}_{q_{t,r}^*}$ from \mathbf{D} and the dominant coefficient matrix $\mathbf{\Delta}_{t,r,q_{t,r}^*}$ from $\mathbf{\Delta}_{t,r}$ corresponding to $q_{t,r}^*$. Since $q_{t,r}^*$ is related to the antenna position $\alpha_{t,r}$ as (18), $\mathbf{S}_{t,r}$ is called as position-based MCI eliminator. From (28), it is easy to find that the received signal after passing $\mathbf{S}_{t,r}$ is free of the MCI. In addition, when the r-th receive antenna is out of the overlap, we find that (28) turns into (21), which means that $\mathbf{S}_{t,r}$ can be seen as a process of selecting the position-based channel model.

2) ICI Elimination: After passing the proposed MCI eliminator, the MCI-free signial at the *r*-th receive antenna is obtained

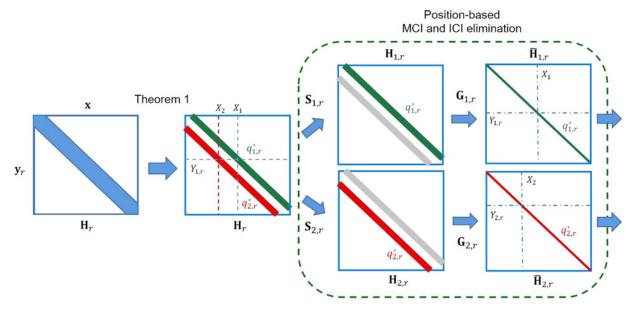


Fig. 3. Structure of \mathbf{H}_r with the position-based MCI and ICI elimination method. (The color parts denote the nonzero entries, and the white parts denote the zero entries. The green solid lines denote the entries corresponding to $\mathbf{D}_{1,r}^*$ with $q_{1,r}^*$, and the red solid lines denote the entries corresponding to $\mathbf{D}_{2,r}^*$ with $q_{2,r}^*$.)

as (28). In (28), since $\mathbf{D}_{t,r}^* = \mathbf{D}_{q|q=q_{t,r}^*}$ is a deterministic square matrix for the given BEM and $q_{t,r}^*$ can be directly calculated for a given antenna position as (18), we can thus design a matrix $\mathbf{G}_{t,r}$ to eliminate the ICI incurred by $\mathbf{D}_{t,r}^*$ at the receiver, i.e., $\mathbf{G}_{t,r}\mathbf{D}_{t,r}^* = \mathbf{I}$. In addition, as $\mathbf{D}_{t,r}^* = \mathbf{F}\mathrm{diag}\{\mathbf{b}_{q_{t,r}^*}\}\mathbf{F}^H$ is nonsingular for most BEMs [8], $\mathbf{G}_{t,r}$ can be directly calculated as $\mathbf{G}_{t,r} = \mathbf{D}_{t,r}^*$. Note that $\mathbf{G}_{t,r}$ is also position-based since it corresponds to the location-related index $q_{t,r}^*$. In practice, $\mathbf{G}_{t,r}$ can be pre-designed for $\{\mathbf{D}_q\}_{q=0}^Q$ and pre-stored at the receiver. For the r-th receive antenna at a certain position $\alpha_{t,r}$, the corresponding $\mathbf{G}_{t,r}$ is selected according to $q_{t,r}^*$.

After passing $\mathbf{S}_{t,r}$, the obtained MCI-free signal $\mathbf{y}_{t,r}$ is transmitted to $\mathbf{G}_{t,r}$ to eliminate the ICI, which can be represented

$$\bar{\mathbf{y}}_{t,r} = \mathbf{G}_{t,r} \mathbf{y}_{t,r},\tag{30}$$

$$= \underbrace{\mathbf{G}_{t,r} \mathbf{D}_{t,r}^*}_{\mathbf{I}} \mathbf{\Delta}_{t,r}^* \mathbf{x}_t + \bar{\mathbf{n}}_{t,r}, \tag{31}$$

$$= \Delta_{t,r}^* \mathbf{x}_t + \bar{\mathbf{n}}_{t,r}, \tag{32}$$

where $\bar{\mathbf{y}}_{t,r}$ is the received signal vector after passing $\mathbf{G}_{t,r}$, and $\bar{\mathbf{n}}_{t,r} = \mathbf{G}_{t,r}\mathbf{n}_{t,r}$ is the equivalent noise vector after passing $\mathbf{G}_{t,r}$. Since $\Delta_{t,r}^*$ is a diagonal matrix consisting of the dominant channel coefficients of $\mathbf{H}_{t,r}$, it is easy to find that (32) is free of the ICI. In this way, we can eliminate both the MCI and the ICI at the receive antenna. Note that these aforementioned analyses and conclusions are not restricted to any specific BEM. In addition, the proposed ICI elimination method can be directly applied to the case when the receive antenna is out of the overlap as (21).

Remark 1: Different to the ICI elimination method in [18] which can only get the ICI-free signal for the CE-BEM, the conclusion that (32) is ICI-free holds for a general BEM. This is because that [18] utilizes the property that $\mathbf{D}_{t,r}^*$ is a

permutated identity matrix for the CE-BEM (while $\mathbf{D}_{t,r}^*$ is approximately banded for most BEMs [8]), whereas, in this work, $\mathbf{G}_{t,r}$ is designed for a general BEM to get the ICI-free signal.

3) An Example: Here we give an example for better clarification. For the r-th receive antenna at the overlap, we plot the structure of its frequency domain channel matrix \mathbf{H}_r with the proposed method in Fig. 3. In specific, here we consider the GCE-BEM [35], which is an improved model of CE-BEM with better modeling performance and robustness for high mobility [8]. In Fig. 3, the columns of \mathbf{H}_r denote the subcarriers of the pilots and data transmitted from BSs, and the rows denote the subcarriers of the received signals at the r-th receive antenna. For the r-th receive antenna at the overlap, \mathbf{H}_r is approximately banded, which is shown as the blue parts. With Theorem 1, H_r can be represented as a matrix with two approximately banded entries corresponding to $q_{1,r}^*$ (the green band) and $q_{2,r}^*$ (the red band), respectively. Denote X_t as a signal transmitted by BS_t at a certain subcarrier, and $Y_{t,r}$ as the desired received signal of X_t , where t = 1, 2. It can be observed that $Y_{1,r}$ (the blue dash line) suffers from both the MCI from X_2 (the red dash line) and the ICI caused by the neighbouring subcarriers of the desired X_1 (the blue dash line). Then, after passing $S_{1,r}$ and $\mathbf{S}_{2,r}$ respectively, \mathbf{H}_r is separated into $\mathbf{H}_{1,r}$ and $\mathbf{H}_{2,r}$, where the grey parts denotes the eliminated MCI from the other cell. Note that $\mathbf{H}_{1,r}$ and $\mathbf{H}_{2,r}$ still suffer from the ICI. Next, after passing $G_{t,r}$, $H_{t,r}$ turns into the green (or red) line corresponding to $q_{t,r}^*$, which is because that $\mathbf{G}_{t,r}$ is designed for $\mathbf{D}_{t,r}^*$ and the dominant coefficients in $\Delta_{t,r}^*$ alone describe the channel with the Doppler shift $f_{t,r}$. It can be observed that the desired signal X_t is free of the ICI and received as $Y_{t,r}$ in $\mathbf{H}_{t,r}$ (the blue dash dot lines). In addition, different to the method in [18], the subcarrier permutation in $\mathbf{H}_{t,r}$ caused by the large Doppler shift is also eliminated by $G_{t,r}$. In this way, the MCI and the ICI are eliminated at each receive antenna by the proposed method.

IV. COMPRESSED CHANNEL ESTIMATION AND PILOT PATTERN DESIGN

In this section, after briefly reviewing some backgrounds of CS, a low-complexity compressed channel estimation method with the optimal pilot pattern design is developed for the considered multi-cell HST system. In addition, the complexity and the summary of the proposed method are given.

A. CS Theory

CS is a revolutionary technique which can recover sparse signals from the undersampled measurements [36]. Let $\hat{\mathbf{x}} \in \mathbb{C}^M$ be an unknown signal vector and it can be represented as an S-sparse vector $\mathbf{a} \in \mathbb{C}^N$ with a known matrix $\mathbf{\Phi} \in \mathbb{C}^{M \times N}$, i.e., $\hat{\mathbf{x}} = \mathbf{\Phi} \mathbf{a}$ and $\|\mathbf{a}\|_{\ell_0} = S \ll N$. With a given measurement matrix $\mathbf{\Psi} \in \mathbb{C}^{V \times M}$, CS aims to recover a correctly from the observed vector $\hat{\mathbf{y}} \in \mathbb{C}^V$ as

$$\hat{\mathbf{y}} = \mathbf{\Psi}\hat{\mathbf{x}} + \boldsymbol{\eta} = \mathbf{\Psi}\mathbf{\Phi}\mathbf{a} + \boldsymbol{\eta},\tag{33}$$

where $\eta \in \mathbb{C}^V$ is a noise vector. The existing work [37] indicates that a lower average coherence of (33) leads to a more accurate recovery of a. The average coherence of a matrix has the following definition [37].

Definition 2: The average coherence of a matrix ${\bf Z}$ is defined as the average of all absolute and normalized inner products between two arbitrary columns in ${\bf Z}$ that are above δ (0 < δ < 1), i.e.,

$$\mu_{\delta}\{\mathbf{Z}\} = \frac{\sum_{i \neq j} (|z_{ij}| \geq \delta) \cdot |z_{ij}|}{\sum_{i \neq j} (|z_{ij}| \geq \delta)},$$
(34)

where $z_{ij} = \tilde{\mathbf{z}}_i^H \tilde{\mathbf{z}}_j$, $\tilde{\mathbf{z}}_i = \mathbf{z}_i / \|\mathbf{z}_i\|_{\ell_2}$, \mathbf{z}_i denotes the *i*-th column of \mathbf{Z} , and

$$(x \ge y) = \begin{cases} 1, & x \ge y, \\ 0, & x < y. \end{cases}$$
 (35)

B. Compressed Channel Estimation With Pilot Design

In this work, we consider the case that receive antennas estimate their channels individually. To estimate the channel coefficients, let us rewrite (32) as

$$\bar{\mathbf{y}}_{t,r} = \mathbf{\Lambda}_t \mathbf{c}_{t,r}^* + \bar{\mathbf{n}}_{t,r}, \tag{36}$$

where $\Lambda_t = \text{diag}\{\mathbf{x}_t\}\mathbf{F}_L$.

For the r-th receive at the overlap, since it receives both the signals transmitted by BS_1 and BS_2 , a low-complexity channel estimation method is developed to jointly estimate the channels $\{\mathbf{H}_{t,r}\}_{t=1}^{T=2}$ with the pilot patterns $\{\mathbf{w}_t\}_{t=1}^{T=2}$, which can be represented as (38). Note that since $\bar{\mathbf{y}}_{1,r}$ has been separated from

 $\bar{\mathbf{y}}_{2,r}$ by the proposed method as (32), we can write the channel estimation problem as (38). In (38), the term Ψ_r denotes the measurement matrix of the considered problem, which is only related to the transmitted signal \mathbf{x}_t and the pilot pattern \mathbf{w}_t , where t=1,2. So far, we transfer the problem of estimating the frequency domain channel matrix $\{\mathbf{H}_{t,r}\}_{t=1}^{T=2}$ to estimating the position-based dominant coefficients $\{\mathbf{c}_{t,r}^*\}_{t=1}^{T=2}$. The number of channel coefficients that need to be estimated is dramatically reduced from TK^2 to TL, which highly reduces the estimation complexity. In addition, when the receive antenna is out of the overlap as (21), the channel estimation problem can be still represented as (38) with $\mathbf{c}_{v,r}^* = \mathbf{0}$ for $v \neq t$.

Next, with the conclusion of [37], the pilot patterns $\{\mathbf{w}_t\}_{t=1}^T$ are optimized to minimize the average coherence of (38). In this work, we assume that the pilot symbols have the same constant amplitude at each BS, i.e.,

$$|X_t(w_{t,p})|^2 = A, \ \forall w_{t,p} \in \mathbf{w}_t,$$
 (39)

where t = 1, 2 and p = 1, 2, ...P. Then, the optimization problem is formulated as

$$\{\mathbf{w}_1^*, \mathbf{w}_2^*\} = \arg\min_{\mathbf{w}_1, \mathbf{w}_2} \mu_{\delta} \{\mathbf{\Psi}_r\}, \tag{40}$$

$$= \arg\min_{\mathbf{w}_1, \mathbf{w}_2} \mu_{\delta} \left\{ \begin{bmatrix} \mathbf{\Lambda}_1(\mathbf{w}_1, :) & \\ & \mathbf{\Lambda}_2(\mathbf{w}_2, :) \end{bmatrix} \right\}, \tag{41}$$

where \mathbf{w}_t^* represents the optimal pilot pattern for BS_t , and t=1,2. In (41), according to Definition 2, it is easy to find that minimizing $\mu_{\delta}\{\mathbf{\Psi}_r\}$ equals to individually minimizing $\mu_{\delta}\{\mathbf{\Lambda}_1(\mathbf{w}_1,:)\}$ and $\mu_{\delta}\{\mathbf{\Lambda}_2(\mathbf{w}_2,:)\}$. Thus, (41) can be rewritten as

$$\mathbf{w}_t^* = \arg\min_{\mathbf{w}_t} \mu_{\delta} \{ \mathbf{\Lambda}_t(\mathbf{w}_t, :) \}, \tag{42}$$

$$= \arg\min_{\mathbf{w}} \mu_{\delta} \{ \operatorname{diag}\{\mathbf{x}_{t}(\mathbf{w}_{t})\} \mathbf{F}_{L}(\mathbf{w}_{t},:) \}, \qquad (43)$$

where t=1,2. From Definition 2, since the average coherence is independent of the constant amplitude, the objective function can be further expressed as

$$\mu_{\delta}\{\operatorname{diag}\{\mathbf{x}_{t}(\mathbf{w}_{t})\}\mathbf{F}_{L}(\mathbf{w}_{t},:)\} = \mu_{\delta}\left\{A\mathbf{F}_{L}(\mathbf{w}_{t},:)\right\}, \quad (44)$$

$$= \mu_{\delta} \left\{ \mathbf{F}_L(\mathbf{w}_t,:) \right\}. \tag{45}$$

Therefore, the optimization problem in (41) is converted to the following problem as

$$\mathbf{w}_t^* = \arg\min_{\mathbf{w}_t} \mu_{\delta} \left\{ \operatorname{diag} \left\{ \mathbf{F}_L(\mathbf{w}_t, :) \right\}, \ t = 1, 2. \right\}$$
 (46)

From (46), we find that \mathbf{w}_t^* is independent of the train position and speed, the number of BSs and receive antennas, and even

$$\begin{bmatrix} \bar{\mathbf{y}}_{1,r}(\mathbf{w}_1) \\ \bar{\mathbf{y}}_{2,r}(\mathbf{w}_2) \end{bmatrix} = \begin{bmatrix} \mathbf{\Lambda}_1(\mathbf{w}_1,:) \\ \mathbf{\Lambda}_2(\mathbf{w}_2,:) \end{bmatrix} \begin{bmatrix} \mathbf{c}_{1,r}^* \\ \mathbf{c}_{2,r}^* \end{bmatrix} + \begin{bmatrix} \bar{\mathbf{n}}_{1,r}(\mathbf{w}_1) \\ \bar{\mathbf{n}}_{2,r}(\mathbf{w}_2) \end{bmatrix}, \tag{37}$$

$$= \underbrace{\begin{bmatrix} \operatorname{diag}\{\mathbf{x}_{1}(\mathbf{w}_{1})\}\mathbf{F}_{L}(\mathbf{w}_{1},:) \\ \operatorname{diag}\{\mathbf{x}_{2}(\mathbf{w}_{2})\}\mathbf{F}_{L}(\mathbf{w}_{2},:) \end{bmatrix}}_{\Psi} \begin{bmatrix} \mathbf{c}_{1,\mathbf{r}}^{*} \\ \mathbf{c}_{2,r}^{*} \end{bmatrix} + \begin{bmatrix} \bar{\mathbf{n}}_{1,r}(\mathbf{w}_{1}) \\ \bar{\mathbf{n}}_{2,r}(\mathbf{w}_{2}) \end{bmatrix}.$$
(38)

Algorithm 1: Pilot Pattern Design Algorithm.

```
input: Initial pilot pattern \mathbf{w} = \mathbf{w}_t;
output: Optimal pilot pattern \mathbf{w}^* = \hat{\mathbf{w}}^{(MP)};
  1: Procedure:
  2: Initialization: Set Iter = M \times P, set \Gamma = 0 and
       \Gamma[0,0]=1, set \kappa=0 and \iota=0.
  3: For n = 0, 1, ..., M - 1
 4: For \bar{p} = 0, 1, ..., P - 1
  5: m = n \times P + \bar{p};
  6: generate \tilde{\mathbf{w}}^{(m)} with operator \mathbf{w}^{(m)} \Rightarrow \tilde{\mathbf{w}}^{(m)};
 7: if \mu_{\delta}\{\mathbf{F}_{L}(\tilde{\mathbf{w}}^{(m)},:)\} < \mu_{\delta}\{\mathbf{F}_{L}(\mathbf{w}^{(m)},:)\} then 8: \mathbf{w}^{(m+1)} = \tilde{\mathbf{w}}^{(m)}; \ \kappa = m+1;
  9: else
                \mathbf{w}^{(m+1)} = \mathbf{w}^{(m)}:
  10:
  11: end If
  12: \Gamma[m+1] = \Gamma[m] + \eta[m](\mathbf{U}[m+1] - \Gamma[m]), with
  13: if \Gamma[m+1,\kappa] > \Gamma[m+1,\iota] then
14: \hat{\mathbf{w}}^{(m+1)} = \mathbf{w}^{(m+1)}; \ \iota \Leftarrow \kappa;
  15: else
                \hat{\mathbf{w}}^{(m+1)} = \hat{\mathbf{w}}^{(m)}:
  16:
  17: end if
  18: End For (\bar{p})
  19: End For (n)
```

the fast variation of the Doppler shift $f_{t,r}$, which means that $\mathbf{w}^* = \{\mathbf{w}_t^*\}_{t=1}^T$ is global optimal for the considered multi-cell multi-antenna HST system.

C. Pilot Pattern Design Algorithm

With the proposed interference elimination and channel estimation method, we turn the pilot design problem into (46). This problem can be directly solved by the pilot design algorithm proposed in our previous work [18], which is briefly presented as Algorithm 1.

In Algorithm 1, $Iter = M \times P$ denotes the total iteration times and M denotes the number of pilot patterns. Define $\mathbf{w}^{(m)}$, $\tilde{\mathbf{w}}^{(m)}$, and $\hat{\mathbf{w}}^{(m)}$ as some pilot patterns at the m-th iteration. The vector $\mathbf{\Gamma}[m] = [\Gamma[m,1], \Gamma[m,2],..., \Gamma[m,MP]]^T$ presents the state occupation probabilities with elements $\Gamma[m,\kappa] \in [0,1]$ and $\sum_{\kappa} \Gamma[m,\kappa] = 1$. $\mathbf{U}[m]$ is an $MP \times 1$ vector with the m-th element as 1 and other elements as zero. The operator $\mathbf{w}^{(m)} \Rightarrow \tilde{\mathbf{w}}^{(m)}$ means that replacing the \bar{p} -th element of $\mathbf{w}^{(m)}$ as a random element which is not included in $\mathbf{w}^{(m)}$ at the m-th iteration. For each iteration, a candidate with a smaller average coherence is allocated for the next iteration and $\mathbf{\Gamma}[m+1]$ is updated with the decreasing step size $\eta[m] = 1/(m+1)$. The pilot pattern with the largest element in $\mathbf{\Gamma}[m+1]$ is updated as the current optimal pattern. The convergence of Algorithm 1 is given in [18].

Remark 2: Different to the pilot design algorithm in [18], in this work, we do not need to design a specific receive pilot pattern for each receive antenna at different positions, which will certainly reduce the complexity. In [18], each receive antenna needs to design its own receive pilot pattern according to its

instant position to eliminate the subcarrier permutation caused by the Doppler shift. In this work, the subcarrier permutation is eliminated by the designed $G_{t,r}$. In addition, for the considered multi-cell system, [18] needs additional P guard pilots to eliminate the MCI. Whereas, in this work, the MCI is eliminated by $S_{t,r}$ without the help of guard pilots, resulting in high spectrum efficiency.

D. Complexity Analysis

The complexity of the proposed method is discussed in the term of the needed multiplications, which mainly depends on the interference elimination and the pilot pattern design.

- 1) For the MCI elimination, with the designed $\mathbf{S}_{t,r}$, the r-th antenna obtains the signal from each BS with (28). This process requires $T(Q+1)K^2$ complex multiplications. Note that $\mathbf{S}_{t,r}$ for any given position can be off-line pre-designed for $q \in \{0,1,...,Q\}$ and selected according to $q^*_{t,r}$. For the ICI elimination, since $\mathbf{G}_{t,r}$ can be also off-line pre-designed for $\{\mathbf{D}_q\}_{q=0}^Q$ of the considered BEM, this process requires TK^2 complex multiplications. Therefore, the proposed interference elimination method requires $T(Q+2)K^2$ complex multiplications in total.
- 2) For the pilot pattern design, Algorithm 1 is an off-line operation with given system parameters. In addition, comparing with the pilot design algorithm in [18], the proposed method does not need to design the receive pilot pattern for each receive antenna at different positions, which further reduces the system complexity.

Therefore, the proposed method needs $T(Q+2)K^2$ complex multiplications in total. In practice, since the constant system parameters T and Q are much smaller than K, the complexity of the proposed method is $\mathcal{O}(K^2)$. In contrast, the complexity of the methods in [22] and [33] are $\mathcal{O}(K^2)$ and $\mathcal{O}(K^3)$, respectively, which, however, only consider the ICI and will need additional complexity to combat the MCI. In addition, as the GPS has been widely equipped in current HST systems [31], it is convenient to obtain the train's position and speed information. This makes the proposed method feasible for implementation in practical systems without greatly increasing the system complexity.

E. Scheme Summary and Comparison

Now we make a briefly summary of the proposed scheme in the considered multi-cell HST system, which is given as follows:

- 1) With given system parameters, we first utilize Algorithm 1 to obtain \mathbf{w}^* and store it at the BSs and the receive antennas. Then, for the considered BEM channel model, both $\mathbf{S}_{t,r}$ and $\mathbf{G}_{t,r}$ are off-line pre-designed for q=0,1,...,Q and stored at the receive antennas.
- 2) During the system run, the received signals on the train are sent to the proposed MCI and ICI eliminator, where $\mathbf{S}_{t,r}$ and $\mathbf{G}_{t,r}$ are selected for each receive antenna according to $q_{t,r}^*$. The dominant index $q_{t,r}^*$ is calculated from (17) or (18) with the help of the GPS. The interference eliminated signal at each receive antenna is given as (32).

3) Finally, each receive antennas utilizes w* to jointly estimate the high mobility channels of different BSs as (38). The estimated channels are then sent to the RS for further operation.

Next, we compare the proposed method and the method in [18]. Consider a multi-cell HST system, the receive antennas receive the signals from different cells at the overlap of two adjacent cells, incurring the MCI. To combat the MCI, the total P pilots in [18] can be divided into two subsets and each BS uses one subset to transmit the optimal pilot to the receive antenna for channel estimation. These subsets are orthogonal in the frequency domain, i.e., one subset put the guard pilots at the subcarriers of the other subset. Thus, each BS only has P/2effective pilots, which highly reduces the spectrum efficiency. Whereas, for the proposed method, each BS has P effective pilots since the MCI is eliminated by selecting different Doppler shifts without needing additional guard pilots. Furthermore, the ICI elimination scheme in [18] can only get the ICI-free pilots in the CE-BEM. For other BEMs, e.g., the GCE-BEM, the receive pilots in [18] will still suffer from the ICI since the $\mathbf{D}_{t,r}^*$ is no more strictly banded, which incurs residual ICI and needs more complexity to further mitigate the ICI. In contrast, in this work, since $G_{t,r}$ is pre-designed for the considered BEM, the ICI-free pilots can be obtained at the receivers. In addition, to eliminate the subcarrier permutation incurred by the large Doppler shift, the method in [18] needs additional complexity to design the receive pilot pattern for each receive antenna according to its instant position. Whereas, the subcarrier permutation is also eliminated by the pre-designed $G_{t,r}$ in this work.

Here we discuss the impacts of the GPS location error on the proposed method. Note that the designed pilot pattern is independent of the train position. For the proposed MCI and ICI elimination method, since $S_{t,r}$ and $G_{t,r}$ are only related to $q_{t,r}^*$, its performance only depends on the accuracy of $q_{t,r}^*$, where $q_{t,r}^*$ can be directly calculated by (18) for a certain $\alpha_{t,r}$. In (18), since D_0 and D_{\min} are much larger than the location error in practice, it can be found that the location error will not greatly reduce the accuracy of $q_{t,r}^*$. Moreover, as $q_{t,r}^*$ is a quantized index, it is easy to find that many different train positions may correspond to a same $q_{t,r}^*$. In this way, one may still obtain the correct $q_{t,r}^*$ while there exists a location error, which guarantees the robustness of the proposed method against the location error. In practice, the location error may has a larger impact on the proposed method when the train is passing the BS, especially at the closest position, i.e., B_t . When the receive antenna just moves at B_t , a larger location error may result in a wrong $q_{t,r}^*$ and the system will select the wrong $\mathbf{S}_{t,r}$ and $\mathbf{G}_{t,r}$ for interference elimination, reducing the performance of the proposed method. However, as the HST always runs at a speed more than 500 km/h, the train will pass the BS very quickly which costs a very short time comparing to the whole system runs. In addition, in practical systems, many other positioning systems, e.g., track circuits [31], can be combined to further reduce the location error, which may improve the robustness of the proposed method. On the other hand, the Doppler shift estimation method in [38] can be also used to support the MCI elimination scheme with location errors.

TABLE I
HST COMMUNICATION SYSTEM PARAMETERS

Parameters	Variables	Values
BS cover range	R_{BS}	1200 m
Distance between BSs	D_s	2000 m
Max distance of BS to railway	$D_{ m max}$	1200 m
Min distance of BS to railway	D_{\min}	50 m
Overlap range	D_c	400 m
HST length	L_{hst}	240 m
Carrier frequency	f_c	2.35 GHz
Train speed	v	500 km/h

V. SIMULATION RESULTS

In this section, simulation results are presented to demonstrate the benefits of the proposed method in the considered multi-cell multi-antenna HST system. The mean square error (MSE) and the bit error rate (BER) are illustrated versus the signal to noise ratio (SNR) and HST positions. Here the MSE is given as

$$MSE = \frac{1}{\mathcal{I}K^2} \sum_{i=1}^{\mathcal{I}} \|\mathbf{H}_{t,r}^{i} - \hat{\mathbf{H}}_{t,r}^{i}\|_{F}^{2},$$
(47)

where \mathcal{I} is the number of channel realizations, $\hat{\mathbf{H}}_{t,r}^{i}$ is the estimated channel matrix of $\mathbf{H}_{t,r}^{\mathbf{i}}$ at the i-th realization, and $\|\cdot\|_F$ denotes the Frobenius matrix norm. Two typical compressed channel estimators are considered: the basis pursuit (BP) [39] and the orthogonal matching pursuit (OMP) [40]. The HST system parameters are given in Table I, where two receive antennas (R=2) are employed at the front and at the rear of the train, respectively. The train speed is set to 500 km/h corresponding to a maximum Doppler shift as $f_{\rm max} = 1.088$ KHz. We consider an OFDM system with 512 subcarriers, 30 pilots, the 4-QAM modulation, the 5 MHz bandwidth, and the $T_d = 1.2$ ms packet duration. In this work, the GCE-BEM is adopted to model the high mobility channels due to its good modeling performance at high Doppler frequencies [8]. The CE-BEM is also considered for comparison. Each high mobility channel is considered with L = 64 taps and 8 dominant taps. In addition, the conventional methods presented in [18] and [26] are included for comparison.

A. MSE Performances

Fig. 4 indicates the MSE performances of different channel estimators for the receive antenna at the position A_1 in the GCE-BEM, where the receive antenna suffers a Doppler shift from BS_1 as 1.087 KHz. In this figure, we consider the proposed method with the BP estimator and three different pilot pattern design methods: the pilot pattern obtained by Algorithm 1 ("Algorithm 1"), the exhaustive pilot design method ("exh.") in [12], and the equidistant pilot pattern ("equi.") in [10]. In addition, the least square (LS) estimator ("LS") with the pilot pattern in [10] and the proposed interference elimination method is included. The ICI elimination method in [18] ("BP-ICI") is also considered with the BP estimator and the pilot pattern designed by Algorithm 1. All these methods are considered with 30

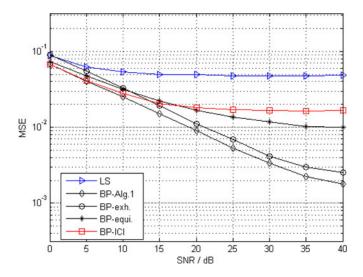


Fig. 4. MSE performances of LS and BP estimators in the GCE-BEM at the position A_1 .

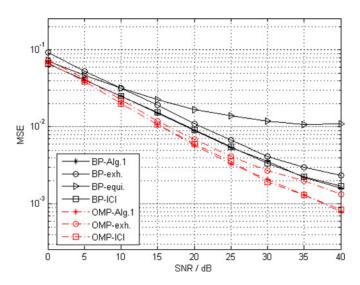


Fig. 5. MSE performances of BP and OMP estimators in the CE-BEM at the position A_1 .

pilots. It can be found that the proposed method ("BP-Alg.1") can effectively reduce the ICI and achieves better performance than the method in [18] ("BP-ICI") for the GCE-BEM. This is reasonable because that [18] is designed for the CE-BEM and still suffers from the ICI caused by the approximately banded \mathbf{D}_q for the GCE-BEM, resulting in a performance degradation.

Fig. 5 shows the MSE comparison of different estimators for the receive antenna at A_1 in the CE-BEM. As can be seen, the BP and OMP estimators with the proposed interference elimination method ("BP-Alg.1" and "OMP-Alg.1") achieve the similar performances as the estimators with the method in [18] ("BP-ICI" and "OMP-ICI"), which means that the proposed method is also effective for the CE-BEM. Note that it has been proven in [18] that its method can get the ICI-free pilots for the CE-BEM.

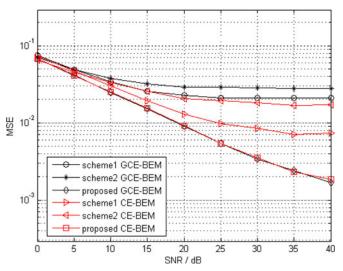


Fig. 6. Comparisons of the MSE performances of different schemes at the overlap A_2-C_1 .

In Fig. 6, we compare the MSE performances of different schemes for the receive antenna at the overlap $A_2 - C_1$, where the receive antenna suffers the Doppler shifts from BS_1 as $f_{1,r} = -1.087$ KHz and from BS_2 as $f_{2,r} = 1.087$ KHz, respectively. Scheme 1 denotes the method in [18] with 48 pilots, where the pilots are divided into two orthogonal subsets to eliminate the MCI, i.e., each BS has 24 effective pilots. Scheme 2 denotes the method in [26] with 200 pilots (20 effective pilots for each BS), where 160 guard pilots are required for ICI elimination and 20 guard pilots are needed for MCI elimination. All the compared schemes are considered with the BP estimator. In this figure, we consider both the GCE-BEM and the CE-BEM. For better illustrating the effectiveness of the proposed scheme for different BEMs, here we ignore the modeling error and assume that the channels have the same sparsity in the GCE-BEM and the CE-BEM. From Fig. 6, we find that the proposed scheme with 30 pilots achieves the best estimation performance for both the GCE-BEM and the CE-BEM. This is because that the proposed method can eliminate the MCI and the ICI without needing any guard pilot, and thus each BS has 30 effective pilots. Comparing the GCE-BEM and the CE-BEM, it can be seen that both the performances of the scheme 1 and the scheme 2 are degraded. This is mainly because that these schemes are designed for the CE-BEM and suffer from the residual ICI in the GCE-BEM. However, it can be noticed that the performances of the proposed scheme for the CE-BEM and the GCE-BEM are almost superimposed, which verifies its robustness to different BEMs.

B. MSE Performances Versus Position

Fig. 7 illustrates the MSE performances and the Doppler shifts at different receive antenna positions in the CE-BEM, where $f_{1,r}$ is the Doppler shift of BS_1 , $f_{2,r}$ is the Doppler shift of BS_2 , and SNR = 35dB. For better illustration, here we denote α_r as the distance between the r-th receive antenna and A_1 , and we have $\alpha_r \in [1200, 3200]$ m for the antenna moving

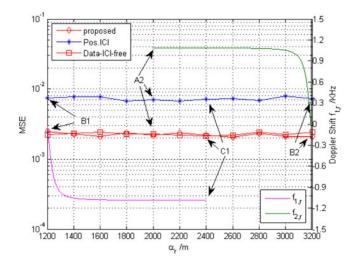


Fig. 7. MSE performances of BP estimators and the Doppler shifts versus the antenna position α_r in the CE-BEM, SNR = 35 dB. $\alpha_r \in [1200, 3200]$ m for the r-th receive antenna moving from B_1 to B_2 .

from B_1 to B_2 . It can be found that Doppler shifts changes rapidly near B_1 and B_2 , and the receive antenna suffers from two large Doppler shifts at the overlap $A_2 - C_1$. Fig. 7 includes the proposed method with 30 pilots, the ICI elimination method in [18] with 48 pilots ("Pos.ICI"), i.e., 24 effective pilots for each BS, and the estimation method where pilots are free of the ICI coming from data subcarriers ("ICI-free"), i.e., data are set as zero. All these methods are equipped with the BP estimators and Algorithm 1. As can be seen, the proposed method outperforms the "Pos. ICI" method at all positions due to having more effective pilots, and achieves the similar performance as the "ICI-free" method since it can effectively eliminate the MCI and the ICI. In addition, we find that the proposed method has stable performances while the Doppler shifts significantly change versus the antenna position. This is mainly because that the proposed MCI and ICI elimination methods and the optimal pilot pattern are all independent of the high mobility and the fast variation of the Doppler shift.

Fig. 8 presents the MSE performances of OMP estimators versus the receive antenna position in the GCE-BEM at SNR = 25 dB. As a reference, the Doppler shifts versus the antenna position from B_1 to B_2 are also plotted. Scheme 3 is a modified version of the method in [18] with 48 pilots: when the receive antenna is out of the overlap, i.e., $B_1 - A_2$ and $C_1 - B_2$, all pilots are used to estimate the channels for each receive antenna; when the receive antenna moves into the overlap $A_2 - C_1$, the pilots are divided into two orthogonal subsets to eliminate the MCI, i.e., 24 effective pilots for each BS. As can be seen, the performance of the scheme 3 is degraded for $A_2 - C_1$ since some pilots are utilized as the guard pilot to eliminate the MCI. For $B_1 - A_2$ and $C_1 - B_2$, it can be noted that the proposed scheme with 30 pilots outperforms the scheme 3 with 48 pilots. This is because that the method in [18] can only get the ICI-free pilot for the CE-BEM and suffers from the residual ICI for the GCE-BEM, which degrades the system performance. However, it can be observed that the proposed method is robust to the multi-cell HST system.

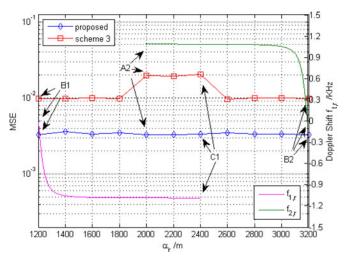


Fig. 8. MSE performances of different schemes and the Doppler shifts versus the antenna position α_r in the GCE-BEM, SNR = 25 dB. $\alpha_r \in [1200, 3200]$ m for the r-th receive antenna moving from B_1 to B_2 .

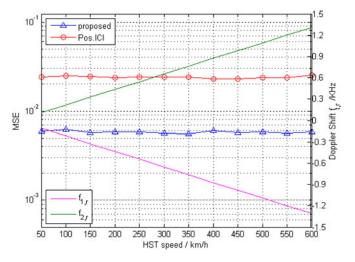


Fig. 9. MSE performances of OMP estimators and the Doppler shifts versus the train velocity in the GCE-BEM, SNR = 20 dB. $\alpha_r = 2200$ m for the r-th receive antenna moves to the middle position of the overlap $A_2 - C_1$.

C. MSE Performances Versus Velocity

Fig. 9 compares the MSE performances of the proposed method and the method in [18] ("Pos.ICI") versus the train velocity, where the r-th receive antenna moves to the middle position of the overlap A_2-C_1 with $\alpha_r=2200$ m. Both methods are considered with Algorithm 1 and OMP estimator. Besides, we also plot the Doppler shifts at the receive antenna, where $f_{1,r}$ and $f_{2,r}$ denote the Doppler shifts caused by BS_1 and BS_2 , respectively. As can be seen, both the proposed method and the method in [18] achieve stable performances with increasing train speed, since their interference elimination methods and pilots are independent of the variation of the train speed. However, it can be found that there exists a performance gap between the proposed method and the conventional one. This is because that the pilots of [18] are divided into two orthogonal subsets to eliminate the MCI and they also suffer from the residual

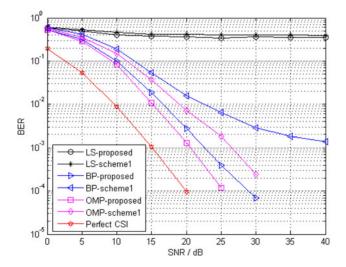


Fig. 10. BER performances of different channel estimators in the CE-BEM.

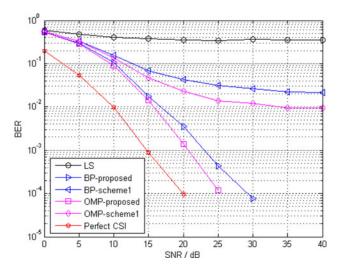


Fig. 11. BER performances of different channel estimators in the GCE-BEM.

ICI in the GCE-BEM, resulting in a performance degradation. Whereas, for different train speeds, the proposed method can directly calculate $q_{t,r}^*$ by (18) with the help of the GPS, and selects the corresponding $\mathbf{S}_{t,r}$ and $\mathbf{G}_{t,r}$ to get the MCI and ICI eliminated signal as (32), without needing any guard pilot. Note that we always have $q_{1,r}^* \neq q_{2,r}^*$ for $f_{1,r}$ and $f_{2,r}$ are with different directions, i.e., $f_{1,r} < 0$ and $f_{2,r} > 0$ in Fig. 9. In addition, as $\mathbf{S}_{t,r}$ and $\mathbf{G}_{t,r}$ are only related to $q_{t,r}^*$, the main conditions for the effectiveness of the proposed method are that there exists a strong LOS propagation path and the receive antenna can obtain the train's instant position and speed information.

D. BER Performances

Figs. 10 and 11 show the BER performances of the considered system for the CE-BEM and the GCE-BEM, respectively, where the HST moves into the overlap $A_2 - C_1$ and both the two receive antennas receive the signals transmitted from BS_1 and BS_2 . The proposed method with 30 pilots and the scheme 1 in [18] with 48 pilots are considered with the LS estimator, the BP estimator, and the OMP estimator, respectively. For all in-

cluded methods, we consider the zero-forcing (ZF) equalizer. In addition, the BER performance with perfect knowledge channel state information (CSI) is also added. As observed, the proposed method significantly outperforms the scheme 1 for both the BP and the OMP. For the CE-BEM in Fig. 10, we find that the proposed method outperforms the scheme in [18] for effectively reducing the MCI and improving the effective pilot numbers. In Fig. 11, it can be found that the proposed method still gets better BER performances while the performances of the conventional method are degraded due to the residual ICI in the GCE-BEM. This is because that the proposed method is not restricted to any specific BEM, whereas, the method in [18] is only designed for the CE-BEM. Therefore, the proposed method can be well applied to the multi-cell HST system.

VI. CONCLUSION

In this paper, we consider the channel estimation and the interference elimination for a mulit-antenna HST communication system in the multi-cell architecture. By exploiting the train position information, we show that both the MCI and the ICI can be eliminated at the receive antenna for a general BEM. Furthermore, we propose a low-complexity compressed channel estimation method with the optimal pilot pattern design for the multi-cell HST system. The proposed MCI and ICI elimination method and pilot pattern are robust to the high mobility multi-cell OFDM system. Simulation results verify the effectiveness and the robustness of the proposed method.

REFERENCES

- L. Liu et al., "Position-based modeling for wireless channel on high-speed railway under a viaduct at 2.35 GHz," *IEEE J. Sel. Areas Commun.*, vol. 30, no. 4, pp. 834–845, May 2012.
- [2] J. Wang, H. Zhu, and N. J. Gomes, "Distributed antenna systems for mobile communications in high speed trains," *IEEE J. Sel. Areas Commun.*, vol. 30, no. 4, pp. 675–683, May 2012.
- [3] O. Karimi, J. Liu, and C. Wang, "Seamless wireless connectivity for multimedia services in high speed trains," *IEEE J. Sel. Areas Commun.*, vol. 30, no. 4, pp. 729–739, May 2012.
- [4] Q. Wu, W. Chen, M. Tao, J. Li, H. Tang, and J. Wu, "Resource allocation for joint transmitter and receiver energy efficiency maximization in downlink OFDMA systems," *IEEE Trans. Commun.*, vol. 63, no. 2, pp. 416–430, Feb. 2015.
- [5] Q. Wu, M. Tao, and W. Chen, "Joint Tx/Rx energy-efficient scheduling in multi-radio wireless networks: A divide-and-conquer approach," *IEEE Trans. Wireless Commun.*, vol. 15, no. 4, pp. 2727–2740, Apr. 2016.
- [6] Y. Mostofi and D. Cox, "ICI mitigation for pilot-aided OFDM mobile systems," *IEEE Trans. Wireless Commun.*, vol. 4, no. 2, pp. 765–774, Mar. 2005.
- [7] K. Kwak, S. Lee, H. Min, S. Choi, and D. Hong, "New OFDM channel estimation with dual-ICI cancellation in highly mobile channel," *IEEE Trans. Wireless Commun.*, vol. 9, no. 10, pp. 3155–3165, Oct. 2010.
- [8] Z. Tang, R. Cannizzaro, G. Leus, and P. Banelli, "Pilot-assisted timevarying channel estimation for OFDM systems," *IEEE Trans. Signal Pro*cess., vol. 55, no. 5, pp. 2226–2238, May 2007.
- [9] H. Hijazi and L. Ros, "Polynomial estimation of time-varying multipath gains with intercarrier interference mitigation in OFDM systems," *IEEE Trans. Veh. Technol.*, vol. 58, no. 1, pp. 140–151, Jan. 2009.
- [10] X. Ma, G. Giannakis, and S. Ohno, "Optimal training for block transmissions over doubly-selective wireless fading channels," *IEEE Trans. Signal Process*, vol. 51, no. 5, pp. 1351–1366, May 2003.
- [11] W. Bajwa, J. Haupt, A. Sayeed, and R. Nowak, "Compressed channel sensing: A new approach to estimating sparse multipath channels," *Proc. IEEE*, vol. 98, no. 6, pp. 1058–1076, Jun. 2010.

- [12] X. He and R. Song, "Pilot pattern optimization for compressed sensing based sparse channel estimation in OFDM systems," in *Proc. Int. Conf. Wireless Commun. Signal Process.*, Oct. 2010, pp. 1–5.
- [13] G. Gui and F. Adachi, "Improved adaptive sparse channel estimation using least mean square algorithm," EURASIP J. Wireless Commun. Netw., vol. 2013, no. 1, 2013, Art. no. 204.
- [14] G. Gui, W. Peng, and F. Adachi, "High-resolution compressive channel estimation for broadband wireless communication systems," *Int. J. Commun. Syst.*, vol. 27, no. 10, pp. 2396–2407, Dec. 2014.
- [15] X. Ren, W. Chen, and Z. Wang, "Low coherence compressed channel estimation for high mobility MIMO OFDM systems," in *Proc. Global Commun. Conf.*, Dec. 2013, pp. 3389–3393.
- [16] X. Ren, X. Shao, M. Tao, and W. Chen, "Compressed channel estimation for high mobility OFDM systems: Pilot symbol and pilot pattern design," in *Proc. IEEE Int. Conf. Commun.*, Dec. 2015, pp. 4553–4557.
- [17] X. Ren, W. Chen, and M. Tao, "Position-based compressed channel estimation and pilot design for high mobility OFDM systems," *IEEE Trans. Veh. Technol.*, vol. 64, no. 5, pp. 1918–1929, May 2015.
- [18] X. Ren, M. Tao, and W. Chen, "Compressed channel estimation with position-based ICI elimination for high mobility SIMO-OFDM systems," *IEEE Trans. Veh. Technol.*, vol. 65, no. 8, pp. 6204–6216, Aug. 2016.
- [19] Q. Wu, M. Tao, W. K. Ng, W. Chen, and R. Schober, "Energy-efficient resource allocation for wireless powered communication networks," *IEEE Trans. Wireless Commun.*, vol. 15, no. 3, pp. 2312–2327, Mar. 2016.
- [20] Q. Wu, W. Chen, W. K. Ng, J. Li, and R. Schober, "User-Centric energy efficiency maximization for wireless powered communication networks," *IEEE Trans. Wireless Commun.*, vol. 15, no. 19, pp. 6898–6912, Jul. 2016.
- [21] Q. Wu, G. Y. Li, W. Chen, and W. K. Ng, "Energy-efficient small cell with spectrum power trading," *IEEE J. Sel. Areas Commun., Green Commun.* Ser., vol. 34, no. 12, pp. 3394–3408, Aug. 2016.
- [22] F. P. Campos, R. C. Alvarez, O. L. Gandara, and R. P. Michel, "Estimation of fast time-varying channels in OFDM systems using two-dimensional prolate," *IEEE Trans. Wireless Commun.*, vol. 12, no. 2, pp. 898–907, Feb. 2013.
- [23] N. Aboutorab, W. Hardjawana, and B. Vucetic, "A new iterative Doppler-assisted channel estimation joint with parallel ICI cancellation for high mobility MIMO-OFDM systems," *IEEE Trans. Veh. Technol.*, vol. 51, no. 4, pp. 1577–1589, May 2012.
- [24] E. Simon, L. Ros, H. Hijazi, and M. Ghogho, "Joint carrier frequency offset and channel estimation for OFDM systems via the EM algorithm in the presence of very high mobility," *IEEE Trans. Signal Process.*, vol. 60, pp. 754–765, 2012.
- [25] J. Almeida, M. Alam, J. Ferreira, and A. S. R. Oliveira, "Mitigating adjacent channel interference in vehicular communication systems," *Digital Commun. Netw.*, vol. 2, no. 2, pp. 57–64, May 2016.
- [26] P. Cheng et al., "Channel estimation for OFDM systems over doubly selective channels: A distributed compressive sensing based approach," *IEEE Trans. Commun.*, vol. 61, no. 10, pp. 4173–4185, Oct. 2013.
- [27] L. Tian, J. Li, J. Shi, and J. Zhou, "Seamless dual-link handover scheme in broadband wireless communication systems for high-speed rail," *IEEE J. Sel. Areas Commun.*, vol. 30, no. 4, pp. 708–718, May 2012.
- [28] H. Zhu, "Performance comparison between distributed antenna and microcellular systems," *IEEE J. Sel. Areas Commun.*, vol. 29, no. 6, pp. 1151–1163, Jun. 2011.
- [29] K. Pekka et al., "WINNER II channel models. IST-4-027756," Wireless World-Initiative-New-Radio (WINNER II), Munich, Germany, Tech. Rep. D1.1.2 v1.1, Sep. 2007.
- [30] K. Liu, H. B. Lim, E. Frazzoli, H. Ji, and V. C. S. Lee, "Improving positioning accuracy using GPS pseudorange measurements for cooperative vehicular localization," *IEEE Trans. Veh. Technol.*, vol. 63, no. 6, pp. 2544–2556, Jul. 2011.
- [31] R. Pascoe and T. Eichorn, "What is communication-based train control?" IEEE Veh. Technol. Mag., vol. 4, no. 4, pp. 16–21, Dec. 2009.
- [32] F. Hlawatsch and G. Matz, Wireless Communications over Rapidly Time-Varying Channels. New York, NY, USA: Academic, 2011.
- [33] H. Hijazi and L. Ros, "Joint data QR-detection and Kalman estimation for OFDM time-varying Rayleigh channel complex gains," *IEEE Trans. Commun.*, vol. 58, no. 1, pp. 170–178, Jan. 2010.
 [34] A. Kannu and P. Schniter, "MSE-optimal training for linear time-varying
- [34] A. Kannu and P. Schniter, "MSE-optimal training for linear time-varying channels," in *Proc. IEEE Int. Conf. Acoust., Speech, Signal Process.*, Mar. 2005, pp. 789–792.
- [35] G. Leus, "On the estimation of rapidly time-varying channels," in *Proc. Eur. Signal Process. Conf.*, Sep. 2004, pp. 2227–2230.
- [36] E. Candes, J. Romberg, and T. Tao, "Robust uncertainty principles: Exact signal reconstruction from highly incomplete frequency information," *IEEE Trans. Inf. Theory*, vol. 52, no. 2, pp. 489–509, Feb. 2006.

- [37] M. Elad, "Optimized projections for compressed sensing," *IEEE Trans. Signal Process.*, vol. 55, no. 12, pp. 5695–5702, Dec. 2007.
- [38] Z. Hou, Y. Zhou, L. Tian, J. Shi, Y. Li, and B. Vucetic, "Radio environment map aided Doppler shift estimation in LTE-railway," *IEEE Trans. Veh. Technol.*, to be published.
- [39] S. Chen, D. Donoho, and M. Saunders, "Atomic decomposition by basis pursuit," SIAM Rev., vol. 43, pp. 129–159, 2001.
- [40] Y. Pati, R. Rezaiifar, and P. Krishnaprasad, "Orthogonal matching pursuit: Recursive function approximation with applications to wavelet decomposition," in *Proc. 27th Annu. Asilomar Conf. Signals, Syst. Comput.*, Nov. 1993, pp. 40–44.



Xiang Ren received the B.S. degree in electronic engineering from Wuhan University, Wuhan, China, in 2011, and the Ph.D. degree from the Network Coding and Transmission Laboratory, Shanghai Jiao Tong University, Shanghai, China, in 2017. His current research interests include channel estimation, high-mobility channels, and multiple-input multiple-output orthogonal frequency-division multiplexing systems.



Wen Chen (M'03–SM'11) received the B.S. and M.S. degrees from Wuhan University, Wuhan, China, in 1990 and 1993, respectively, and the Ph.D. degree from the University of Electro-Communications, Tokyo, Japan, in 1999. From 1999 to 2001, he was a Researcher with the Japan Society for the Promotion of Sciences. In 2001, he joined the University of Alberta, Edmonton, AB, Canada, as a PIMS Post-doctoral Fellow with the Information Research Laboratory and then as a Research Associate with the Department of Electrical and Computer Engineering.

Since 2006, he has been a full Professor with the Department of Electronic Engineering, Shanghai Jiao Tong University, Shanghai, China, where he is also the Director of the Institute for Signal Processing and Systems. During 2014—2015, he was the Dean of the School of Electronic Engineering and Automation, Guilin University of Electronic Technology, Guilin, China. Since 2016, he has been the Chairman of intellectual Property Management Corporation, Shanghai Jiao Tong University. He has authored 79 papers in IEEE journals and transactions and more than 100 papers in IEEE conference publications. His research interests include multiple access, network coding, cooperative communications, and multiple-input multiple-output orthogonal frequency-division multiplexing systems.

Dr. Chen received the Ariyama Memorial Research Prize in 1997, the PIMS Postdoctoral Fellowship in 2001. He received the honors of New Century Excellent Scholar in China in 2006 and "Pujiang Excellent Scholar in Shanghai" in 2007. He became the Vice General Secretary of Shanghai Institute of Electronics in 2008. He received the Best Service Award of China Institute of Electronics in 2013, the Best Paper Awards of the Chinese Information Theory Society in 2014, the Innovate 5G Competition Award in 2015, and was invited to deliver a keynote speech in the 2016 Asia-Pacific Conference on Communications. He is an Editor of the IEEE TRANSACTIONS ON WIRELESS COMMUNICATIONS and an Associate Editor of IEEE Access. He was a Lead Guest Editor of the EURASIP Journal on Wireless Communications and Networking and IEEE/CIC China Communications. He is the TPC Chair for the 2008 IEEE International Conference on Circuits and Systems for Communications and the 2012 IEEE International Conference Wireless and Mobile Computing, Networking and Communications, and the General Chair for the 2009 IEEE International Conference on Intelligent Computing and Intelligent Systems, the 2010 IEEE International Conference on Wireless Communications, Networking and Information Security, and the 2011 IEEE International Conference Wireless and Mobile Computing, Networking and Communications.



Bo Gong received the B.S. degree in telecommunication engineering from Xidian University, Xi'an, China, in 2014. She is currently working toward the Ph.D. degree with the Department of Electronic Engineering, Shanghai Jiao Tong University, Shanghai, China. Her current research interests include doubly selective channel estimation, massive multiple-input multiple-output systems, and compressive sensing.



Qibo Qin received the B.S. degree in electronic and information engineering from Xi'an Jiao Tong University, Xi'an, China, in 2014. He is currently working toward the Ph.D. degree with the Department of Electronic Engineering, Shanghai Jiao Tong University, Shanghai, China. His current research interests include doubly selective channel estimation, massive multiple-input multiple-output systems, and millimeter-wave communications.



Lin Gui (M'08) received the Ph.D. degree from Zhejiang University, Hangzhou, China, in 2002. Since 2002, she has been with the Institute of Wireless Communication Technology, Shanghai Jiao Tong University, Shanghai, China, where she is currently a Professor. Her current research interests include high-definition television and wireless communications.